

**In the United States Patent and Trademark Office**

First/Sole Applicant: **Bruce C.H. Cheng**      Joint/Second Applicant: **Chun-hui Tsai**  
 Third Applicant:

TITLE:            **COLOR DISPLAY DEVICE FABRICATED WITH LIGHT EMITTING  
 POLYMER (LEP) FIBER CONTROLLED BY IMAGE DISPLAY SIGNALS**

**Small Entity Declaration - Small Business Concern**

I hereby declare that I am an

- ☐ the owner of the small business concern identified below:  
☒ an officer of the small business concern empowered to act on behalf of  
 the concern identified below:

NAME OF CONCERN **DELTA OPTOELECTRONICS, INC.**  
 ADDRESS OF CONCERN **No. 4 Innovation 1<sup>st</sup> Rd., Science-Based  
 Industrial Park Hsinchu, Taiwan ROC 300**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention of the above applicants and the specification filed herewith.

I acknowledge a duty to file, in the above application for patent notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Chun-hui Tsai  
 Signature or Officer of Small Business Concern

June 13<sup>th</sup>, 2000  
 Date

**Chun-Hui Tsai, General Manager**  
 Name and Title of Officer

**No. 4 Innovation 1<sup>st</sup> Rd., Science-Based Industrial Park, Hsinchu, Taiwan, ROC**  
 Address of Officer

09881953-061501

# PROVISIONAL APPLICATION COVER SHEET

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This is a request for filing a PROVISIONAL APPLICATION under 37 CFR 1.53(b)(2).

Docket Number		DELTAOPT8901		Type a plus sign (+) inside this box →	+
INVENTOR(s) APPLICANT(s)					
LAST NAME	FIRST NAME	MIDDLE INITIAL	RESIDENCE (CITY AND EITHER STATE OR FOREIGN COUNTRY)		
Cheng	Bruce	C. H.	Residence: Taipei, Taiwan, R. O. C. Citizenship: Taiwan, Rep. of China Post Office Address: No.4, Innovation Rd., Science-Based Industrial Park, Hsinchu, Taiwan, R. O. China		
TITLE OF THE INVENTION (280 characters max)					
COLOR DISPLAY DEVICE FABRICATED WITH LIGHT EMITTING POLYMER (LEP) FIBER CONTROLLED BY IMAGE DISPLAY SIGNALS					
CORRESPONDENCE ADDRESS: 13445 Mandoli Drive, Los Altos Hills, CA 94022					
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification	Number of Pages	8	<input checked="" type="checkbox"/> Small Entity Statement		
<input checked="" type="checkbox"/> Drawing(s)	Number of Sheets	4	<input checked="" type="checkbox"/> Other (specify)	claims: 2 pages, abstract: 1 page	
METHOD OF PAYMENT (check one)					
<input checked="" type="checkbox"/> A check or money order is enclosed to cover the Provisional filing fees.				ESTIMATED PROVISIONAL FILING FEE AMOUNT <input type="checkbox"/> \$150 <input checked="" type="checkbox"/> \$75	
<input type="checkbox"/> The Commissioner is hereby authorized to charge the required filing fee to Deposit Account Number					

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.  
☒ No ☐ Yes, the name of the U.S. Government agency and the Government contract number are: \_\_\_\_\_

Respectfully submitted,

Signature:  REGISTRATION NO. 33,948 Date: June 16, 2000  
 (If appropriate)

Bo-In Lin

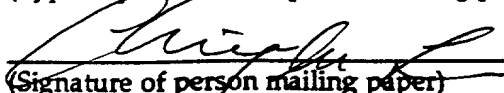
☐ Additional inventors are being named on separately numbered sheets attached hereto. Total number of cover sheet pages.

## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Office Response Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date June 16, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EK483900473US addressed to the : Assistant Commissioner of Patents, Washington, D.C. 20231.

Ching-lu Lin

(Type or print name of person mailing paper)

  
 (Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon to mailing. 37 CFR 1.10(b).

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